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11/17/45

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
41890-01597

In Re Application: HAMPDEN-SMITH et al.

JAN 14 2002

Serial No.  
99/927,888

Filing Date  
August 10, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
1745

"FINE POWDERS FOR USE IN PRIMARY AND SECONDARY BATTERIES"

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: Oct. 22, 2001

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
41890-01597

Application Of: **HAMPDEN-SMITH et al.**

**JAN 14 2002**  
Serial No.  
09327,888

Filing Date  
August 10, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
1745

Title: **"FINE POWDERS FOR USE IN PRIMARY AND SECONDARY BATTERIES"**

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).